

10/518002

PTO/SB/08a (08-03)

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Substitute for form 1449A/PTO		<div style="text-align: right;"> PTO 15 DEC 2004 <i>Office of the Patent and Trademark Office</i> </div>			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Application Number			
		Filing Date			
		First Named Inventor		Gerhard GUMPOLTSBERGER	
		Art Unit			
		Examiner Name			
Sheet 1 of 3		Attorney Docket Number		ZAHFRI P700US	
U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ²	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
DL	1	US-5,106,352	04/21/1992	LEPELLETIER	Equiv to EP-0 434 525
DL	2	US-5,295,924	03/22/1994	BEIM	
DL	3	US-5,435,792	07/25/1995	JUSTICE et al.	
DL	4	US-6,053,839	04/25/2000	BALDWIN et al.	
DL	5	US-6,083,135	07/04/2000	BALDWIN et al.	
DL	6	US-6,139,463	10/31/2000	KASUYA et al.	
DL	7	US-6,217,474 B1	04/17/2001	ROSS et al.	Equiv. to DE-100 43 751
DL	8	US-6,425,841 B1	07/30/2002	HAKA	
DL	9	US-2003/0083174 A1	05/01/2003	TABATA et al.	Equiv. to DE-102 50 374
DL	10	US-6,558,287 B2	05/06/2003	HAYABUCHI et al.	Equiv. to DE-102 13 820
DL	11	US-6,572,507 B1	06/03/2003	KORKMAZ et al.	Equiv. to DE-199 12 480
DL	12	US-6,634,980 B1	10/21/2003	ZIEMER	Equiv. to DE-199 49 507
DL	13	US-6,723,018 B2	04/20/2004	HAYABUCHI et al.	Equiv. to DE-102 00 379
DL	14	US-2004/0097324 A1	05/20/2004	ZIEMER	Equiv. to WO-02/079670
DL	15	US-2004/0116238 A1	06/17/2004	ZIEMER	Equiv. to WO-02/079671
Examiner Signature	/David Le/			Date Considered	09/13/2006

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known DT06 Rec'd PCT/PTO 15 DEC 2004				
		Application Number				
		Filing Date				
		First Named Inventor		Gerhard GUMPOLTSBERGER		
		Art Unit				
Examiner Name						
Sheet 2 of 3		Attorney Docket Number		ZAHFRI P700US		
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ² No. ⁴ Kind Code ⁵	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
DL	1	GB-1 522 616	08/27/1978	MILLER		
DL	2	EP-0 434 525 A1	06/26/1991	LEPELLETIER	Equiv. to US-5,106,352	✓
	3	JP-04119243 A	04/20/1992	SUGANO	Abstract	
DL	4	DE-199 12 480 A1	09/28/2000	KORKMAZ et al.	Equiv. to US-6,572,507	
	5	JP-2000291747 A	10/20/2000	TAKAGI et al.	Abstract	
	6	JP-2001002555A	03/27/2001	OGOTO et al.	Abstract	
DL	7	DE-199 49 507 A1	04/19/2001	ZIEMER	Equiv. To US-6,634,980	
DL	8	DE-100 43 751 A1	06/13/2001	ROSS et al.	Equiv. to US-6,217,474	
DL	9	DE-102 00 379 A1	08/01/2002	HAYABUCHI et al.	Equiv. to US-6,723,018	
DL	10	DE-102 13 820 A1	10/10/2002	HAYABUCHI et al.	Equiv. to US-6,558,287	
DL	11	WO-02/079670 A2	10/10/2002	ZIEMER	Equiv. to US-2004/0097324	
DL	12	WO-02/079671 A2	10/10/2002	ZIEMER	Equiv. to US-2004/0116238	
	13	JP-2002323096 A	11/06/2002	HIRAIWA	Abstract	
DL	14	DE-102 50 374 A1	06/18/2003	TABATA et al.	Equiv. to US-2003/0083174	
Examiner Signature	/David Le/			Date Considered	09/13/2006	

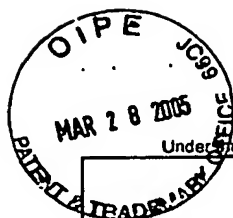
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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(Use as many sheets as necessary)</i>		Complete If Known	
		Application Number	DT05 Rec'd PCT/PTO 15 DEC 2004
		Filing Date	
		First Named Inventor	Gerhard GUMPOLTSBERGER
		Art Unit	
		Examiner Name	
Sheet 3 of 3	Attorney Docket Number		ZAHFRI P700US
NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
DL	1	TENBERGE, Prof. Dr. P., "E-Automat Automatikgetriebe mit Esprit", VDI-BERICHT, Nr. 1610, VDI Verlag GmbH-Düsseldorf, GERMANY, June 20, 2001, pp. 455-479.	
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Examiner Signatures	/David Le/		Date Considered 09/13/2006



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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Complete if Known

Application Number	10/518,002
Filing Date	with an effective filing date of July 5, 2003
First Named Inventor	Gerhard GUMPOLTSBERGER
Art Unit	
Examiner Name	
Attorney Docket Number	ZAHFRI P700US

Sheet 1 of 1

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ²	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
DL	1	US-5,342,257	08/30/1994	HOTTA et al.	
	2	US-			
	3	US-			
	4	US-			
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	6	US-			
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	11	US-			
	12	US-			
	13	US-			
	14	US-			

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Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ No. ⁴ Kind Code ⁵	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
DL	1	DE 689 07 338	11/25/1993	ASADA		✓
DL	2	DE 691 16 480	06/13/1996	HOTTA et al.		
DL	3	EP 0 378 900	06/23/1993	ASADA		
DL	4	WO 03/095865	11/20/2003	ZIEMER et al.		
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Examiner
Signature

/David Le/

Date
Considered

09/13/2006

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		First Named Inventor	Gerhard GUMPOLTSBERGER			
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DL	1	US-4,395,925	08/02/1983	GAUS	Equiv. to DE-029 36 969	
DL	2	US-5,455,767	10/03/1995	STAERKER	Equiv. to EP-0 531 567	
DL	3	US-5,514,050	05/07/1996	BÄUERLE et al.	Equiv. to DE-43 30 391	
DL	4	US-5,913,746	06/22/1999	BÄUERLE	Equiv. to DE-196 10 950	
DL	5	US-2003/0054917	03/20/2003	RAGHAVAN et al.		
DL	6	US-2003/0203784	10/30/2003	USORO et al.		
	7	US-				
	8	US-				
	9	US-				
	10	US-				
	11	US-				
	12	US-				
	13	US-				
	14	US-				
FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ No. ⁴ Kind Code ⁵	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
	1	JP-2000294004	08/29/2000	OKUBA	Abstract	✓
DL	2	DE-102 31 350 A1	01/29/2004	GUMPOLTSBERGER	English Translation Attached	
DL	3	EP-1 411 269 A2	04/21/2004	BUCKNOR et al.		
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	5					
	6					
Examiner Signature	/David Le/			Date Considered	09/13/2006	